



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Hack**

Serial No.: **10/763,095**

Filed: **January 22, 2004**

**For: Method and Apparatus for  
Managing Power and Thermal Alerts  
Transparently to an Operating  
System in a Data Processing System  
with Increased Granularity in  
Reducing Power Usage and Thermal  
Generation**

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Group Art Unit: **2186**

Examiner: **Unknown**

Attorney Docket No.: **AUS920031029US1**

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By:

Louise Fay

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Commissioner for Patents  
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**35525**

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- Form PTO-1449; and
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Respectfully submitted,

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

**Hack**

Serial No: **10/763,095**

Filed: **January 22, 2004**

Title: **Method and Apparatus for Managing Power and Thermal Alerts Transparently to an Operating System in a Data Processing System with Increased Granularity in Reducing Power Usage and Thermal Generation**

Group Art Unit: **2186**

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
Austin, Texas 78758

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

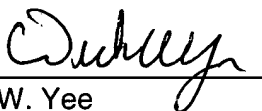
While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

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Respectfully submitted,

  
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**Complete if Known**

Application Number	10/763,095
Filing Date	01-22-2004
First Named Inventor	Hack
Examiner Name	Unknown
Art Unit	2186
Attorney Docket No.	AUS920031029US1

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT****U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	7,036,009	04-2006	Hiramoto	
AB	6,901,522	05-2005	Buch	

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**NON PATENT LITERATURE**

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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